Corporate profile

www.sfg.com.au

Details of the services offered by SFG are available in its Financial Services Guide.
A copy of this document will be provided without charge upon request.

Helping successful people make smart decisions about money.

Understanding your needs

You've worked hard to get where you are today; successful people are driven by performance and results. When it comes to financial solutions that support and maintain your lifestyle objectives, you demand and deserve a highly-personalised approach that delivers real value.

Shadforth Financial Group

About us

The Shadforth Financial Group Limited (SFG) is one of Australia's leading privately-owned financial advisory firms.

Our aim is to help clients generate and maintain wealth. We have clients from all walks of life who are supported by over 300 employees in 14 practices across Australia.

We are united in our commitment to act with the highest standards of ethics and integrity, providing quality financial advice and best-of-breed solutions and services.

Being privately-owned, we are free of ownership or control by the large banks and insurance companies that dominate Australian wealth management. We provide transparent, fee-based advice.

Today, our experienced, highly qualified and dedicated Private Client Advisers offer uniquely personalised financial advice. They will develop financial solutions and services that are tailored to each client's unique set of circumstances and objectives.

When you become one of our clients, we will provide you with the information and resources you need to feel empowered and in control of your finances, leaving you to enjoy the things that are really important in life.

We meet with our clients regularly to adjust our recommendations in order to update their personal circumstances and affairs, and to take advantage of emerging opportunities created by the ever-changing environment of taxation, superannuation, investment markets and government regulations.

We challenge ourselves to be the best in everything we do and we embrace the opportunity to question the conventional wisdom and champion better outcomes for our clients.

Our aim is to help you achieve your financial goals and objectives, while making sure your financial wellbeing is protected and maintained.

Alleviating risk

No one can predict the future. Risks that may threaten your financial position and future prosperity can emerge at any time.

However you can avoid the potentially devastating financial consequences of poor or inadequate planning with sound financial advice. In fact, your complete financial wellbeing and quality of life depends on it. Advice that is based on a sound

About us continued

understanding of your current needs and concerns, and your future lifestyle objectives.

That's why it's essential to engage an advice partner you can trust. We begin by gaining a full understanding of your personal situation, and then, working closely with you, we develop a strategy that is designed to meet your goals.

This involves the development of detailed structures and regular ongoing consultation to ensure emerging risks are mitigated and opportunities assessed. We apply our in-depth knowledge of taxation, structuring, investment and other strategies to support your financial position and provide comprehensive reporting and administration services that make decision-making easy.

You expect a secure financial future. Only a dedicated, qualified adviser can provide you with the level of service you deserve to ensure your financial wellbeing remains on track, now and into the future as your circumstances change.

An advice partner you can trust

Our clients are typically successful individuals with complex requirements. Unlike other advisory firms, the privately-owned nature of the Shadforth Financial Group means we are uniquely positioned to respond to these requirements with robust and perceptive solutions that are precisely tailored to each client's individual circumstances and future goals.

We know that successful individuals are time poor. Having a partner that identifies what you want to achieve, then establishes a disciplined, regular program to help you achieve your objectives is a truly distinguishing feature of the Shadforth Financial Group.

Our reputation is important to us

Accordingly we pride ourselves on providing our clients with the highest standards of professional service. We like to get to know our clients and adopt a holistic approach in responding to your needs and requirements.

This involves partnering with you to understand your lifestyle goals, 'dreams' and ambitions. Because life is about more than just money – it's about understanding what you want to achieve out of life, and putting in place the necessary plans and structures to help you realise your goals. It's also about planning for the future, protecting the financial wellbeing of those that you care for, now, and into the future as your needs and circumstances change.

You're in control

While your requirements may be complex, our mandate is to respond to your needs with advice and solutions that are easy to understand. We will collaborate with you every step of the way, from understanding your lifestyle objectives and requirements right through to strategy development and implementation. You can have as little, or as much involvement as you choose. Some clients like to leave the strategy development to us, while others prefer to adopt a more intensive "hands on" approach.

With Shadforth Financial Group as your wealth partner, the choice is yours.

Our services

Our comprehensive range of professional services are designed to accommodate the exacting needs and complex requirements of successful people.

Central to maximising your after-tax cashflows, we recommend a unique blend of smart superannuation, tax, investment, risk management and wealth creation strategies tailored precisely to your personal circumstances. Our services include:

- Personal strategic financial advice
- Investment portfolio construction and management
- Investment registry, custody, reporting, transactions and administration
- Personal stock broking and managed fund selection
- Structured investments
- Corporate services including corporate superannuation
- Estate planning
- Personal risk insurance broking
- General insurance broking
- Mortgage broking and lending services

Our ongoing commitment to you

Advice you can trust. The peace of mind you deserve.

Successful people demand a professional service. The Shadforth Financial Group is committed to providing our clients with a highly personalised service offering. Accordingly, we do not operate a "call centre." Instead, our advisers are supported by an associate adviser and dedicated client services personnel, which means you always have someone available to assist you.

The team dedicated to managing your personal affairs includes:

- Your own Private Client Advisory team who are responsible for managing your affairs and are available to assist you with any enquiries you may have.
- A dedicated Client Service Manager who is available to respond to any general administrative enquiries you may have.

Client Administration Service

Our range of ongoing service offerings include the integrated "Client Administration Service". This is a comprehensive investment solution that offers:

1 access to a wide range of wholesale and retail managed investment funds or direct shares that may be selected to suit your individual needs;

2 access to specifically engineered funds that are only available to Shadforth Financial Group clients, and this enables clients to benefit from our economies of scale and advanced portfolio management techniques;

3 regular rebalancing of your portfolio and the ability to switch investments at any time for a nominal fee. This enables you, in consultation with your adviser, to adjust your investment portfolio as economic and personal circumstances change;

4 simplified record keeping and consolidated tax reporting – you will receive easy-to-understand reports in relation to all your investments held within the service. These include portfolio valuations, income and taxation statements.

The Client Administration Service reduces the complexity of managing your investment portfolio by providing a flexible and simple administration solution.

Ongoing advice & recommendations

It is important to review your financial strategies and investments on a regular basis to ensure they remain on track to achieve your lifestyle goals and objectives. Regular reviews also enable you to be fully aware of your opportunities in changing environments.

We extend an offer to meet with you personally on a regular basis to formally review your financial position and the appropriateness of the strategies being adopted. Should any changes be necessary, we will provide you with advice on the range of choices available to you and identify the optimal strategy.

Regular correspondence & updates

When you select our Client Administration Service, we will provide you regular reports and updates regarding your investments. Our comprehensive reporting service includes:

- Market update and economic outlook
- Current valuation and portfolio asset allocation
- Updated summary of underlying investment holdings
- A range of other portfolio reporting options including cash statement, transaction summaries and tax summaries.

Reports are also available upon request, or may be accessed online at **www.sfg.com.au** using your own personalised online account.

Contact

For further information, please contact your nearest SFG Practice or visit www.sfg.com.au.

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