

Shadforth Portfolio Service

23 October 2019

Advice Fee – One-Off

- **Shadforth Portfolio Service – Super**
- **Shadforth Portfolio Service – Pension**
- **Shadforth Portfolio Service – Investment**

Please note: A new request must be supplied each time you wish for the Advice fee – one-off to be applied. In the event your account has insufficient funds, this form will be returned to your financial adviser to re-lodge when your account does have sufficient funds.

Please complete these instructions in BLACK INK using CAPITAL LETTERS.

Step 1: Client details

Account number

Title (Dr/Mr/Mrs/Ms/Miss) Surname

Given name(s)

Step 2: Advice fee – one-off

- The default value for the advice fee is \$0, unless you agree and specify otherwise below in conjunction with your financial adviser.
- Advice fees can only be deducted from your super/pension account if they relate to advice you receive about your super/pension benefits, insurance and investments.
- Advice fees paid to your financial adviser and their Licensee (and quoted in this section) are inclusive of GST.
- The actual amount deducted from your account may be less than the amount quoted. This is because the Fund may be able to claim a reduced input tax credit (RITC) on some fees. Where the Fund is able to claim an RITC, the benefit is passed on to you which effectively reduces the fee. Note that the RITC rules are subject to change and this may impact the RITC available in the future.

As agreed with my nominated financial adviser, I authorise \$ to be a one off deduction from my account for financial advice

The fee should be provided including GST.

A maximum of 10% of the account balance up to a fee of \$11,000 (inclusive of GST), OR a maximum fee of \$3,300 (inclusive of GST) where the fee is greater than 10% of the account balance.

Notes:

- Maximum fee above includes GST.
- For further information on adviser remuneration and advice fees, please refer to the PDS.

Step 3: Client Declaration

The Trustee/Service Operator collects the information in this form for the purpose of updating the information it holds about you. The information provided in this form will be handled in accordance with the privacy policy available at www.sfg.com.au/portfolio/privacy.

- I authorise the Trustee/Service Operator to charge the advice fee selected against my account. This fee, if charged on my Super and Pension accounts is for advice I have or will receive about my superannuation/pension benefits, insurance and investments.
- The amount of any advice fee that is paid to my financial adviser, or their Australian Financial Services Licensee (Licensee) as agreed by me, will be an additional cost to me and charged against my account. An advice fee will not be charged unless I tell the Trustee/Service Operator to do so.
- Any agreed advice fee will be charged to my account and paid in full to the financial adviser, or their Licensee until I instruct the Trustee/Service Operator to cease payment or when I change my nominated financial adviser.

Client signature Date / /

Step 4: Adviser declaration

I confirm that fees have been fully explained to the applicant and that any advice fees charged on the Super and/or Pension accounts relate to advice about the applicant’s superannuation or pension benefits, insurance and investments in the Fund.

Adviser name

Licensee name

Contact name

AFS license number Adviser code Dealer code

Adviser signature Date / /

Please forward all correspondence and enquiries to

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GPO Box 264, Melbourne VIC 8060

Email: portfolioservice@sfg.com.au

Telephone: 1800 931 792

Web: portfolio.sfg.com.au