

# Shadforth Portfolio Service

23 October 2019

## Advice Fees

- **Shadforth Portfolio Service – Super**
- **Shadforth Portfolio Service – Pension**
- **Shadforth Portfolio Service – Investment**

**Please note:** If you wish to charge an Advice fee – one-off please complete the Advice fee – one-off form available on our website or by contacting Shadforth ClientFirst.

**Please complete these instructions in BLACK INK using CAPITAL LETTERS.**

### Step 1: Client details

Account number	<input type="text"/>		
Title (Dr/Mr/Mrs/Ms/Miss)	<input type="text"/>	Surname	<input type="text"/>
Given name(s)	<input type="text"/>		

### Step 2: Advice fees

Choose your fee option. Fees should be provided including GST. Blank boxes will default to nil.

- The default value for each member advice fee is 0% or \$0, unless you agree and specify otherwise below in conjunction with your financial adviser.
- Advice fees can only be deducted from your super/pension account if they relate to advice you receive about your super or pension benefits, insurance and investments.
- Advice fee(s) paid to your financial adviser and their Licensee (and quoted in this section) are inclusive of GST.
- The actual amount deducted from your account may be less than the amount quoted. This is because the Fund may be able to claim a reduced input tax credit (RITC) on some of these fees. Where the Fund is able to claim an RITC the benefit is passed on to you which effectively reduces the fee. Note that the RITC rules are subject to change and this may impact the RITC available in the future.

#### (1a) Advice fee – upfront

##### (Shadforth Portfolio Service – Super and Shadforth Portfolio Service – Pension only)

Up to a maximum of 5.5% (inclusive of GST) of each contribution.

Contributions	<input type="text"/>	% (inclusive of GST)
Transfers/Rollovers (not applicable to transfers from existing accounts within Shadforth Portfolio Service)	<input type="text"/>	% (inclusive of GST)

#### (1b) Advice fee – upfront

##### (Shadforth Portfolio Service – Investment only)

Up to a maximum of 5.5% of each deposit.

Deposits received via cheque and BPAY	<input type="text"/>	% (inclusive of GST)
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**Please note:** Advice fee – upfront for deposits received via direct debit could be entered when setting up a direct debit using the Direct Debit Request – Investment form.

**(2) Advice fee – ongoing**

The available fee options are:

**(a) Percentage based fee options – flat percentage **or** tiered percentage**

**Flat percentage fee**

Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance  % per annum (inclusive of GST)

**OR**

**Tiered percentage fee**

- Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance can be applied to the amount for each tier.
- Up to a maximum of 7 tiers.

	<b>Balance from</b>	<b>Balance to</b>	<b>% per annum (inclusive of GST)</b>
<b>Tier 1</b>	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
<b>Tier 2</b>	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
<b>Tier 3</b>	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
<b>Tier 4</b>	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
<b>Tier 5</b>	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
<b>Tier 6</b>	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
<b>Tier 7</b>	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>

**AND/OR**

**(b) Flat dollar fee**

Up to a maximum of \$18,000 per annum (inclusive of GST). \$  per annum (inclusive of GST)

**(3) Advice fee – insurance (Shadforth Portfolio Service – Super only)**

Up to a maximum of 50% per annum (inclusive of GST) of each insurance premium.

Percentage fee	Primary: Death or Death and TPD cover	<input type="text"/>	% per annum (inclusive of GST)
	Additional: Death or Death and TPD cover	<input type="text"/>	% per annum (inclusive of GST)
	Income protection cover	<input type="text"/>	% per annum (inclusive of GST)

**OR**

Up to a maximum of \$18,000 per annum (inclusive of GST).

Flat fee (dollar amount) \$  per annum (inclusive of GST)

### Step 3: Client declaration

**Important note:** The Trustee / Service Operator collects the information in this form for the purpose of updating the information it holds about you. The information provided in this form will be handled in accordance with the Trustee / Service Operator's privacy policy available at [www.sfg.com.au/portfolio/privacy](http://www.sfg.com.au/portfolio/privacy).

- I authorise the Trustee /Service Operator to charge the advice fee selected against my account. This fee, if charged on my Super and Pension accounts is for advice I have or will receive about my superannuation/pension benefits, insurance and investments.
- The amount of any advice fee(s) that are paid to my financial adviser, as agreed by me, will be an additional cost to me and charged against my account. An advice fee will not be charged unless I tell the Trustee / Service Operator to do so.
- Any agreed advice fee(s) will be charged to my account and paid in full to the financial adviser, until I instruct the Trustee / Service Operator to cease payment.

Client signature	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Adviser name	<input type="text"/>									
Licensee name	<input type="text"/>									
Contact name	<input type="text"/>									
AFS license number	<input type="text"/>	Adviser code	<input type="text"/>	Dealer code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Adviser signature	<input type="text"/>	Date	<input type="text"/>	/	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Step 4: Adviser Declaration

I confirm that fees have been fully explained to the applicant and that any advice fees charged on the Super/ Pension accounts relate to advice about the applicant's superannuation or pension benefits, insurance and investments in the Fund.

**Please forward all correspondence and enquiries to**

**Post:** Shadforth Portfolio Service  
GPO Box 264, Melbourne VIC 8060

**Email:** [portfolioservice@sfg.com.au](mailto:portfolioservice@sfg.com.au)

**Telephone:** 1800 931 792

**Web:** [portfolio.sfg.com.au](http://portfolio.sfg.com.au)